JC05 Rec'd PCT/PTO 21 SEP 2009 10/550193

Docket No.: 4266-0110PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Gerd DAHMS et al.

Application No.: NEW

Confirmation No.: N/A

Filed: September 21, 2005

Art Unit: N/A

For:

MSSN DISPERSION AND METHOD FOR

PRODUCING THE SAME

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith. Please note, EP 0 167 825 is cited on Page 2 of the Specification and is equivalent to US 4,880,634. EP 0 605 497 and US 5,885,486 are cited on Page 2 of the Specification. Both Non Patent literature documents are discussed on Page 3 of the Specification.

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Application No.:

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application

as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark

Office has waived this requirement for all U.S. patent applications. Applicant submits herewith

copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement

shall not be construed to mean that a search has been made or that no other material information

as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this

Information Disclosure statement shall not be construed to be an admission that any patent,

publication or other information referred to therein is "prior art" for this invention unless

specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR

1.98 and the Examiner is respectfully requested to consider the listed references.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future

replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for

any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of

2

time fees.

SLL/sll

## JC05 Rec'd PCT/PTO 21 SEP 2009

10/550193 Docket No.: 4266-0110PUS

Application No.:

Dated: September 21, 2005

Respectfully submitted,

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PTO/SB/08a/b (07-05)
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Substitute for form 1449A/B/PTO				Complete if Known		
		_		Application Number	Complete if Known 55019	
II.	NFORMATION	l DI	SCLOSURE	Filing Date	September 21, 2005	
S	STATEMENT BY APPLICANT			First Named Inventor	Gerd DAHMS	
				Art Unit	N/A	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	4266-0110PUS1	

U.S. PATENT DOCUMENTS					
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*		Number-Kind Code <sup>2</sup> ( if known)			
	AA*	US-4,880,634-A	11-14-1989	SPEISER	
	AB*	US-5,188,837-A	02-23-1993	DOMB	
	AC*	US-5,885,486	03-23-1999	WESTESEN et al.	

FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document		T <sup>6</sup>
	ВА	EP-0 605 497-B1	03-20-1996			П
	BB	DE-41 31 562-A1	03-25-1993			
	ВС	EP-0 167 825-B1	01-15-1986			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	CA	DINGLER et al., Solid lipid nanoparticles (SLNTM/LipopearlsTM)-a pharmaceutical and cosmetic carrier for the application of vitamin E in dermal products, J. Microencapsulation, 1999, Vol. 16, No. 6, pp. 751-767.			
	СВ	WISSING et al., Investigations on the occlusive properties of solid lipid nanoparticles (SLN), J. Cosmet. Sci., 52, (September/October 2001), pp. 313-324.			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.